

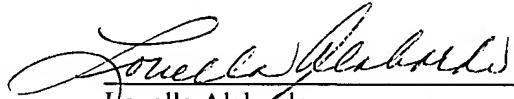


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Louella Alabardo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Nicolae Miron

Serial No.: 10/074,948 ✓

Filed: February 13, 2002

**For: TUNABLE BAND PASS OPTICAL FILTER UNIT WITH A
TUNABLE BAND PASS INTERFEROMETER**

Docket No.: 086112-173-NP

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings to the attention of the Examiner a co-pending application Serial No. 09/705,447 filed on November 3, 2000 and the documents listed on the attached PTO/SB/08 (substitute for form 1449/PTO). Copies of the listed documents are enclosed.

Applicant believes that no fee is necessary for this Information Disclosure Statement because it is being filed before the mailing of a first office action on the merits.

However, authorization is hereby granted to charge any required fees in this application to Goodwin Procter LLP Deposit Account 06-0923.

Respectfully submitted,



Eva Tan (Reg. No. 46,406)
Goodwin Procter LLP
7 Becker Farm Road
Roseland, NJ 07068
(973) 992-1990



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<p>Complete if Known</p>	
Sheet	1	of	3	Application Number	10/074948
				Filing Date	February 13, 2002
				First Named Inventor	Nicolae Miron
				Art Unit	
				Examiner Name	
				Attorney Docket Number	086112-173-NP

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 5,739,945	04/14/1998	Tayebati	
	2	US- 4,400,058	08/23/1983	Durand et al	
	3	US- 5,283,845	02/01/1994	Ip	
	4	US- 4,861,136	08/29/1989	Stone et al.	
	5	US- 5,251,275	10/05/1993	Kuriyama et al.	
	6	US- 4,813,756	03/21/1989	Frenkel et al.	
	7	US- 5,287,214	02/15/1994	Robertson et al.	
	8	US- 5,481,402	01/02/1996	Cheng et al.	
	9	US- 5,506,920	04/09/1996	Suemura et al.	
	10	US- 5,684,632	11/04/1997	Shimizu	
	11	US- 5,781,332	07/14/1998	Ogata	
	12	US- 5,781,341	07/14/1998	Lee	
	13	US- 4,896,948	01/30/1990	Dono et al.	
	14	US- 5,039,201	08/13/1991	Liu	
	15	US- 5,621,828	04/15/1997	Baets et al.	
	16	US- 4,240,696	12/23/1980	Tracy et al.	
	17	US- 4,929,063	05/29/1990	Durand et al.	
	18	US- 5,136,671	08/04/1992	Dragone	
	19	US- 5,493,625	02/20/1996	Glance	
	20	US- 5,542,010	07/30/1996	Glance et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ³	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/074948
(use as many sheets as necessary)				Filing Date	February 13, 2002
				First Named Inventor	Nicolae Miron
				Art Unit	
				Examiner Name	
Sheet	2	of	3	Attorney Docket Number	
086112-173-NP					

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³	Number ⁴ - Kind Code ⁵ (if known)				

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/074,948
				Filing Date	2/13/02
				First Named Inventor	Nicolae Miron
				Group Art Unit	
				Examiner Name	
Sheet	3	of	3	Attorney Docket Number	086112-173-NP

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. 1	Include name of the author (In CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T2
	24	S. Toyoda et al., "Polarization-Independent Low-Cross-Talk Polymeric AWG-based Tunable Filter Operating Around 1.55um", IEEE Photonics Technology Letters, 11 (9), 1141-1143 (Sep. 1999)	

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